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Docket No.: 105773.0132
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of:

Dante E. PICCONE

Original Patent No.: 5,614,737

Patent No.: RE36770

Original Issue Date: March 25, 1997

Reissued: July 11, 2000

Application No.: 09/273,567

For: MOS-CONTROLLED HIGH-POWER
THYRISTOR

Filed: March 22, 1999

**STATEMENT BY STACEY NALEPKA IN SUPPORT OF PETITION FOR
RECONSIDERATION UNDER 37 C.F.R. § 1.378(B)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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OFFICE OF PETITIONS

Madam:

This statement is submitted in support of a Petition for Reconsideration under 37 C.F.R. § 1.378(b) in the above-identified matter.

The undersigned hereby states that:

1. I am employed as a Business Analyst for Thomson IP Management Services (hereinafter, "Thomson"). I have worked for Thomson for 13 years. My responsibilities include resolving critical payment issues in order to maintain the patent and design rights of our clients.

2. Thomson is the world's leading source of intelligent information for businesses and professionals. We combine industry expertise with innovative technology to deliver critical information to leading decision makers in the financial, legal, tax and accounting, scientific, healthcare and media markets, powered by the world's most trusted news organization. With

headquarters in New York and major operations in London and Eagan, Minnesota, Thomson Reuters employs more than 50,000 people in 93 countries.

3. Thomson offers and supports a product known as IPMaster. Exhibit A to this Statement is a product brochure which Thomson distributes for the IPMaster product.

4. One of IPMaster's greatest benefits is its sophisticated country-law tables that automatically calculate worldwide due dates for both current and historical rules in every jurisdiction. IPMaster provides the correct action due date, regardless of when an IP right was issued, referring back to old laws, when necessary.

5. Pfizer, Inc. and Honeywell, are two of several clients who have done case studies detailing their use of Thomson IP Management Services and our software. Exhibit B to this Statement is a copy of the Honeywell case study which Thomson distributes.

6. IPMaster provides the most accurate, comprehensive rules file in the industry, covering 400 jurisdictions with nearly 2,000 law changes added annually.

7. IPMaster is used by IP portfolio managers, patent and trademark attorneys, brand and product managers, business managers, R&D staff, paralegals, and docketing staff.

Dated: 11/25/2009

Respectfully,

By

Stacey Nalepka
Stacey Nalepka

IPMASTERSM

AN INTELLIGENT WAY TO MANAGE YOUR INTELLECTUAL PROPERTY

IPMASTER 1.7 HIGHLIGHTS

- Query, browse, review, edit, print, and enter data from anywhere with internet access
- Perform popular administrative tasks such as automatic updates, expense uploads, and annuity extracts in the web client
- Increase collaboration between IP owners and practitioners, regardless of their location, in a secure environment
- Easily install the application for multiple users

IPMASTER 1.7 BENEFITS

Save Money

All you need to run *IPMaster 1.7* is a web browser and connection to a web server. Dependency on a Citrix/terminal server is significantly reduced, and in some cases eliminated, with 1.7.

Work More Efficiently/Increase Productivity

As more employees gain access and contribute to your portfolio data, in conjunction with IP counsel, work gets done more efficiently and your organization's or firm's productivity increases.

Make Faster, Smarter Business Decisions

Widespread IP data access shared by additional team members means improved collaboration and quicker decision making regarding matters such as whether or not to file, continue payment, license in or out, proceed with litigation, and more.

Improve Client and Agent Relations

Law firms can share IP portfolio data with clients, who can monitor progress and status online, thereby increasing the value the firm provides. Increasingly, IP owners are also sharing data with their agents, further reducing legal expenses.

POWERFUL QUERIES

IPMaster 1.7 has powerful, flexible record-searching capabilities that let you run a variety of different searches within the web client. You can also personalize your queries by user, and take advantage of wildcard and multiple-field searches across all *IPMaster* modules.

SUPERIOR DUE-DATE CALCULATIONS

One of *IPMaster's* greatest benefits is its sophisticated country-law tables that automatically calculate worldwide due dates for both current and historical rules in every jurisdiction. *IPMaster* provides the correct action due date, regardless of when an IP right was issued, referring back to old laws, when necessary. All date capabilities and calculations are exactly the same in the enhanced 1.7 web client as they are in the Microsoft® Windows® version. They are carried over, so you can proceed with your work as you are accustomed in the updated browser.

WHY USE IT

- Share valuable information across the enterprise
- Extend collaboration between IP owners and practitioners in a secure and convenient environment
- Access docket and other IP data from multiple locations

WHO USES IT

- IP portfolio managers
- Patent and trademark attorneys
- Brand and product managers
- Business managers
- R&D staff
- Paralegals
- Docketing staff

THOMSON IP MANAGEMENT SERVICES



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Exhibit A

REPORTS FOR ANY AUDIENCE

Inherent in *IPMaster* are easy-to-use reports that can be delivered to any audience. In addition, 1.7 supports standard reporting tools like Microsoft® Access® and Crystal Reports® that let you quickly customize information to your specifications.

CUSTOMIZATIONS

IPMaster can be modified to meet your company's or firm's needs. It is designed to be flexible, versatile, and dynamic. You are not locked into preset fields or configurations. Rather, our fields are meant to be guidelines for you as you customize the application. Any custom enhancements you made in the Microsoft Windows version are carried over and reflected in the new web client.

INDUSTRY-STANDARD DATABASES

The open architecture of *IPMaster 1.7* is popular amongst IT staff because it is easy to support and allows you to share information across your enterprise. It can run on either a Microsoft SQL Server or Oracle database, and offers data accessibility via a wide variety of commercially available tools, such as Microsoft Access, Microsoft Query, and Crystal Reports.

IPMaster 1.7 has comprehensive country rule data with convenient online access and search capabilities. You can easily create duplicate records in *IPMaster 1.7*. In addition to end-user and technical support, our client services team can assist you with your data conversion, initial and refresher training, and provides unlimited telephone support. You also receive quarterly *IP Rules* updates and newsletters. Regional and national user meetings also bring a large number of users together to share best practices and learn from one another.

LEARN MORE ABOUT THOMSON IPMASTER

To learn more about *IPMaster* from Thomson IP Management Services go to thomsonipmanagement.com or contact us at ipms.info@thomsonreuters.com.

Thomson IP Management Services
Thomson Reuters
300 Franklin Center
23100 North-eastern Highway
Southfield, MI 48034-1095 USA
Phone: +1 248 352 5810
Fax: +1 248 352 0754
Email: ipms.info@thomsonreuters.com

thomsonipmanagement.com
thomsonreuters.com
C.O. 256242 CFS

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HONEYWELL



INTRODUCTION: Honeywell: Lowering costs, increasing productivity, and improving services for IP operations.

CASE SUMMARY: Using a combination of *Aureka* IP Analysis and *IPMaster* from Thomson IP Management Services provides Honeywell International Inc. with the ability to lower IP operation costs, increase staff productivity within the centralized operations group and deliver a valuable service to Honeywell's technical employees worldwide.

HONEYWELL ORGANIZATION

Honeywell International is a \$23 billion diversified technology and manufacturing leader, serving customers worldwide with aerospace products and services; control technologies for buildings, homes and industry; automotive products; turbochargers; specialty chemicals; fibers; and electronic and advanced materials. Based in Morris Township, NJ (U.S.), Honeywell's shares are traded on the New York, London, Chicago

and Pacific Stock Exchanges. It is one of the 30 stocks that make up the Dow Jones Industrial Average and is also part of the Standard & Poor's 500 Index. The intellectual property activities of the organization are managed by 25 IP lawyers located in various business units across the U.S., Europe and Asia, with a central patent services staff dedicated to managing the Honeywell docket and coordinating international filings.

BUSINESS ISSUES

As the Chief IP Counsel, David Hoiris faces the task of coordinating and managing roughly 13,000 issued patents on a global basis. The portfolio has grown over the years through M&A activities, along with internal product development (the major acquisition was the combination of the Honeywell and Allied Signal portfolios in 1999). Furthermore, with approximately 500 new US patents per year, the portfolio goes through near constant changes as a result of new filings, expired/abandoned patents, acquisitions, divestitures and in-licensing activities.

With over 10,000 technical employees across the globe in four separate Strategic Business Groups (each with their own level of IP business processes and requirements), Hoiris faces the daily challenge of providing IP services to this community. In particular, the key business issues he and his team face include:

- Providing his business units quickly and easily with patent information that does not appear on the face of the patent (such as the

Honeywell Business Unit that is responsible for maintaining that particular asset). As Honeywell has grown through acquisition, along with licensing of patents, his business units require accurate, up-to-date and easily accessible information regarding their portfolios. Because a large number of Honeywell's patents show an assignee other than Honeywell, no public database can list all of Honeywell's patents accurately.

- Ensuring he and his team are focused on the primary activities that drive value for Honeywell from managing the IP portfolio, while at the same time responding to consistent requests for patent information across the enterprise from the various business units. With limited resources, improving productivity in managing his internal customer requests is a significant challenge.
- With continued cost pressures across the organization, streamlining internal processes throughout the IP management life cycle is critically important in order to improve productivity, lower costs and improve services.

Honeywell

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THE THOMSON IP MANAGEMENT SERVICES SOLUTION

Facing these issues, Hoiris turned to Thomson IP Management Services to provide a solution to his business issues. As a result, Honeywell is now utilizing the *Aureka* IP analysis offering in combination with the *IPMaster* offering to meet his needs. The *Aureka* application provides Honeywell with the ability to quickly and easily integrate information from the in-house docket management tool and provide global access to this information across the enterprise in a user-friendly interface. With *Aureka*'s web-based architecture (minimizing the need for IT investment), Hoiris is now able to provide his business units with current access to their own portfolios, which include both

Honeywell patents as well as patents acquired from other organizations, in an easy-to-use interface that is integrated with Honeywell's IP intranet. Furthermore, the tiered usage levels of *Aureka* and flexible license management allows Hoiris to cost-effectively meet the needs of his user community by providing global access to the entire organization with *Aureka* bronze licenses (particularly important to his R&D community in managing the stage gate review processes), while providing his attorneys and licensing executives with silver and gold licenses which provide increased analysis and portfolio management capabilities.

VALUE TO HONEYWELL

As a result, Hoiris is now able to have his staff focus on the value-add activities of managing the IP portfolio, versus responding to numerous similar requests for patent information from his business units. In addition, this has all been accomplished without any incremental headcount within the IP legal group as Thomson IP Management Services has completely managed the implementation, integration and rollout of the *Aureka* offering across the Honeywell organization.

"Implementing the *Aureka* platform and Thomson IP Management Services offerings has increased

the productivity not only of my own department, but of all Honeywell users requiring access to patent information. As a result, we have been able to continue to grow and expand our services without adding additional headcount, resulting in cost savings across the company," said Hoiris. "Furthermore, Thomson IP Management Services has provided a complete one-stop, enterprise-wide solution to meet my needs by offering the *Aureka* software platform, robust patent content information, and professional services including integration and education services, all combined within one simple and easy to manage contractual offering."

CONCLUSION

"My responsibilities include ensuring I get the most value as possible from the effective management of the Honeywell patent portfolio," said Hoiris. "Partnering with Thomson IP

Management Services has allowed me to focus on these key core competencies, while they focus on their strengths in assisting me to grow our business."

"Implementing the Aureka and IPMaster platforms and Thomson IP Management Services offerings has increased the productivity not only of my own department, but of all Honeywell users requiring access to patent information."

— David Hoiris
Chief IP Counsel, Honeywell

Thomson IP Management Services
Thomson Reuters
300 Franklin Center
25100 Northwestern Highway
Southfield, MI 48034-1085 USA
Phone +1 248 352 5810
Fax +1 248 352 0754
Email ipms.info@thomsonreuters.com

thomsonipmanagement.com
thomsonreuters.com
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